

# **EXHIBIT 3**

IN THE UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

4 SIA HENRY, et al., } Docket No. 22 C 125  
5 Plaintiffs, }  
6 vs. }  
7 BROWN UNIVERSITY, et al., } Chicago, Illinois  
8 Defendants. } October 5, 2023  
1:00 o'clock p.m.

TRANSCRIPT OF PROCEEDINGS  
BEFORE THE HONORABLE MATTHEW F. KENNELLY

## APPFARANCES.

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1                   THE COURT: So stop right there. You're saying that  
2 the plaintiffs have never said, hey, tell us who these 180  
3 names are?

4                   MR. WAXMAN: Right.

5                   THE COURT: So I think we may be ships passing in the  
6 night here.

7                   Let me ask this. I have a question for plaintiffs'  
8 counsel. And I want to put aside for the moment this argument  
9 that wealth favoritism, whatever you want to call it, impacts  
10 whether it's per se or rule of reason. Put that aside. I'm  
11 just talking about the rest of the case.

12                  Okay. So if every defendant stipulated, yeah, you  
13 got us, we consider financial need in determining admissions,  
14 and we did during the relevant time period, we wouldn't need  
15 to be doing this stuff, right?

16                  MR. RAYMAR: That's correct. That's why we withdrew  
17 Notre Dame, who stipulated just that this morning.

18                  THE COURT: Yeah. Okay. And, basically, what you're  
19 talking about here is that -- and I know -- I've seen  
20 references to this, I don't think it's in your side's papers  
21 but in the other side's papers, that -- on this proposition  
22 that you mentioned a minute ago, which is, we might have to  
23 prove or we might -- at least we have to be prepared to prove  
24 that student A who had wealth as a consideration wouldn't have  
25 gotten in but for wealth as a consideration.

1           That -- am I getting that right? That argument has  
2 been advanced at some level?

3           MR. RAYMAR: With a nuance.

4           THE COURT: Yeah.

5           MR. RAYMAR: Most applicants, and the presidents of  
6 the universities usually say this after the year is done, fit  
7 within a range that they're not geniuses who are automatically  
8 admitted, and they're not people who are automatically  
9 rejected. They're people in the middle for whom a thumb on  
10 the scale, whether it's a letter from the Lacrosse coach --

11           THE COURT: Yeah, can make a difference. Right.

12           MR. RAYMAR: -- can make a difference, and where a --  
13 a -- if it's a legacy, a long period of donations, a  
14 significant donation, makes the difference. It breaks the tie  
15 and allows the president to put this person on an admit list  
16 or it allows the development office to do the same. And in  
17 the admissions decisionmaking process, it is a factor that has  
18 weight. Does it have to be dispositive weight? No. Nor do  
19 we have to prove that but for the donation, the applicant  
20 would've been rejected. We're not saying that.

21           We're saying that in each of these cases, we want to  
22 show that the donation impacted the admissions process.

23           THE COURT: Okay. So I'm just going to ask this  
24 because -- is it the position -- I'm asking this to the  
25 defendants. I got like 50 people in the room here. Okay?

1                   MR. WAXMAN: But I'm the only one at the microphone.

2                   THE COURT: Yeah, but the rest of them are here, and  
3 they all gave their names to the court reporter, and I've got  
4 them in my claws until they walk out the door.

5                   Is it the position of any defendant -- and I think  
6 I've seen this argument in papers here -- is it the position  
7 of any defendant that as to student A, there's going to be an  
8 argument that, nah, we really didn't consider wealth in that  
9 because that person would have gotten in anyway even if they  
10 didn't have somebody who was making -- who was giving a lot of  
11 money?

12                  MR. WAXMAN: Well, I -- I will defer to my colleagues  
13 with respect to their respective class.

14                  THE COURT: It's a yes, right? Somebody's arguing  
15 that right now, right?

16                  MR. WAXMAN: Well, of course. Just speaking for  
17 Penn --

18                  THE COURT: Okay. Fine. The motion to compel is  
19 granted. It's that simple.

20                  The motion to compel is granted.

21                  MR. RAYMAR: Thank you.

22                  THE COURT: Now we're going to move on to the other  
23 thing.

24                  You know, the whole thing about, you know, trotting  
25 people in public is a non-starter here because there's a

1                   MR. WAXMAN: -- so let me just pose the question for  
2 clarification.

3                   The list of 180 names includes many, many names that  
4 were previously provided us in Appendix A for their first  
5 request for production.

6                   We have searched all of our records, and we have  
7 produced all responsive documents with UIDs so that if there  
8 is correspondence or there's a list of special people along  
9 with the structured data, they already have it.

10                  Do we now have to provide FERPA notices with respect  
11 to the people that we've already searched for telling them  
12 that we're going to unmask their identities, or is it just the  
13 new people on this list that weren't previously the subject of  
14 documents discovery under an RFP?

15                  THE COURT: Okay. So I'm going to say this as nicely  
16 as I can and with respect.

17                  I granted the motion. That means the way you know  
18 what was granted is you look at the prayer for relief in the  
19 motion and I granted that.

20                  Now, these arguments about how we already did this  
21 and we already did that and we already did this and we already  
22 did that, that might have been an argument that somebody could  
23 have made in saying, well, you should only grant this in part.  
24 That wasn't the argument.

25                  The argument was, they don't need this stuff, they

1 wasted their time, et cetera, et cetera.

2 I ruled against you. The motion is granted.

3 If you confer afterwards and you point out to the  
4 plaintiffs, hey, you don't really need this, here's why, and  
5 the plaintiffs agree to it, that's fine, but I have granted  
6 the motion --

7 MR. WAXMAN: Okay.

8 THE COURT: -- and the prayer for relief defines the  
9 scope of what I have granted. I cannot be any clearer than  
10 that.

11 MR. WAXMAN: Okay. I --

12 THE COURT: Do you want to keep going?

13 MR. WAXMAN: I have another question for  
14 clarification.

15 THE COURT: Okay.

16 MR. WAXMAN: There is another part of their motion  
17 which we have opposed which doesn't deal with FERPA or UIDs.

18 They have asked for discovery from the president's  
19 office -- from the president's offices and development's  
20 offices of all documents, whether it relates to an applicant  
21 or not, between those offices and donors.

22 This Court has previously said that there will need  
23 to be a special showing of particularized need in order to get  
24 discovery from president's offices and development offices.

25 THE COURT: That's not part of this motion, I don't

1 think.

2 MR. WAXMAN: It is.

3 THE COURT: Okay. So I'm going to read to you the  
4 last -- the -- I'm going to you what the motion says. It's  
5 basically two sentences, all right?

6 No, it's one sentence.

7 "On the grounds set forth in the supporting  
8 memorandum submitted on this date, plaintiffs respectfully  
9 move the Court to enter an order as follows, colon: Each  
10 defendant, with the exceptions of MIT, Cal Tech, Chicago, and  
11 Yale, maybe another one or two, is ordered to send FERPA  
12 notices pursuant to this Court's prior order regarding FERPA  
13 in the same form as Exhibit A to that order to each of the  
14 students admitted to that university and identified by  
15 plaintiffs as an intended recipient of such FERPA notice."  
16 Full stop.

17 That's it. That's what I granted.

18 The thing you're talking about now about president's  
19 offices, I'm not seeing that there. And just to be clear, I  
20 looked at the last paragraph of the memorandum in support to,  
21 and that's docket number 443-1, it says exactly the same thing  
22 as what I just read. I mean, there's a word or two different,  
23 but it says the same thing.

24 MR. WAXMAN: If that's what your Honor is ordering --

25 THE COURT: That's what I ordered.

1 MR. WAXMAN: -- that's what we'll do.

2 THE COURT: That is what I ordered.

3 MR. WAXMAN: Thank you.

4 THE COURT: Okay.

5 All right. Anything else on the plaintiffs' side  
6 that you need to bring up today?

7 MR. NORMAND: No.

8 THE COURT: No?

9 Anything else on the defense side?

10 Okay. So, you know, just to make sure that I touch  
11 bases on stuff here, it sounds like you have -- to the extent  
12 that you might need a mediator, there's somebody that's  
13 already been used, and it's -- I think it's -- if I'm  
14 recalling correctly -- is it a judge from some -- from a  
15 district court -- retired judge from somewhere in the Tenth  
16 Circuit or something like that?

17 MR. NORMAND: Layn Phillips.

18 THE COURT: Oklahoma, maybe?

19 MR. NORMAND: That's right.

20 THE COURT: Yeah. Okay. That's fine. I don't need  
21 to worry about that anymore.

22 And I think there was one other thing, but it's going  
23 to take me a second to just -- I walked out here without my  
24 hard copy of the status report, so it's going to take me a  
25 second to pull it up. So bear with me. Unless somebody has a